



New York State Department of Motor Vehicles
APPLICATION FOR A HAZARDOUS MATERIALS ENDORSEMENT
 This form is also available on DMV's web site at: www.dmv.ny.gov

PLEASE PRINT CLEARLY

The federal Transportation Security Administration requires all drivers who are applying for a hazardous materials endorsement to complete and sign this form. Be sure to provide all information requested on page 1 and page 2. Mail the completed application and a copy of your legal status document(s) to HazMat Unit, NYS DMV, 6 Empire State Plaza, Albany, NY 12228.

License ID No:	I am applying for a : <input type="checkbox"/> HazMat <input type="checkbox"/> Combination HazMat & Tanker	TYPE OF APPLICATION <input type="checkbox"/> New <input type="checkbox"/> Renewal <input type="checkbox"/> Transfer	If transferring, list prior CDL number & state of issuance	CDL Number (if available)	State
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SECTION I - PERSONAL INFORMATION							
LAST NAME		FIRST NAME		MIDDLE NAME		SUFFIX	
DATE OF BIRTH		WEIGHT (LBS)	EYE COLOR	HAIR COLOR	HEIGHT	SEX	
Month	Day	Year			Feet	Inches	Male <input type="checkbox"/> Female <input type="checkbox"/>
PREVIOUS NAME USED (if any): LAST NAME		FIRST NAME		MIDDLE NAME		SUFFIX	

SECTION II - ADDRESSES							
ADDRESS WHERE YOU GET YOUR MAIL - Include Street Number and Name, Rural Delivery and/or box number, or PO box number							
		Apt. No.	City or Town		State	Zip Code	Country
ADDRESS WHERE YOU LIVE - IF DIFFERENT FROM MAILING ADDRESS (DO NOT GIVE P.O. BOX NUMBER)							
		Apt. No.	City or Town		State	Zip Code	Country
Home Phone (Include area code)		Work Phone (Include area code)		Cell Phone (Include area code)		E-Mail Address	
()		()		()			
PREVIOUS ADDRESS WHERE YOU LIVED (DO NOT GIVE P.O. BOX NUMBER)							
		Apt. No.	City or Town		State	Zip Code	Country

SECTION III - CITIZENSHIP					
COUNTRY OF CITIZENSHIP		NATURALIZATION DATE (if applicable)		ALIEN REGISTRATION NO. (if you are a legal alien or a naturalized citizen)	
Are you a U.S. Citizen? <input type="checkbox"/> Yes <input type="checkbox"/> No		Month Day Year			
If born abroad to U.S. citizens, check the State Department Form Number <input type="checkbox"/> FS-240 <input type="checkbox"/> FS-545 <input type="checkbox"/> DS-1350 and provide number assigned: _____		Legal Status Document Type (see list below)		Assigned Number Expiration Date (if applicable)	
Country of Birth		City of Birth		State of Birth (Not required if born outside the U.S.)	

- ACCEPTABLE DOCUMENTS FOR PROOF OF LEGAL STATUS**
- ◆ a U.S. Passport
 - ◆ a Certificate of Birth that bears an official seal, and that was issued by a state, county, municipal authority or outlying possession of the United States
 - ◆ a Certificate of Birth Abroad that was issued by the U.S. Department of State (Form FS-545 or DS-1350)
 - ◆ a Certificate of Naturalization (Form N-550 or N-570)
 - ◆ a Certificate of U.S. Citizenship (Form N-560 or N-561)
 - ◆ a Permanent Resident Card, Alien Registration Receipt Card (Form I-551) Department of State (Form FS-545 or DS-1350)
 - ◆ a Temporary I-551 stamp on a passport issued by a country outside the U.S.
 - ◆ a Temporary I-551 stamp on Arrival/Departure Record (Form I-94), with a photograph of the person presenting it.
 - ◆ Re-entry permit (Form I-327)

SECTION IV - EMPLOYMENT HISTORY			
1. Have you undergone or are you undergoing a DHS (including TSA) security threat assessment?		<input type="checkbox"/> Yes <input type="checkbox"/> No	
2. Have you had or do you have a DHS/TSA credential (e.g., Aviation Worker, Certified Cargo Screening, Indirect Air Carrier, TWIC, FAST, MMD, etc.)?		<input type="checkbox"/> Yes <input type="checkbox"/> No	
If yes: Name of program (e.g., Aviation Worker, Certified Cargo Screening, Indirect Air Carrier, TWIC, FAST, MMD, etc.): _____		If yes: Type of credential: _____	
Credential reference number: _____			
NAME OF YOUR CURRENT EMPLOYER			EMPLOYER'S PHONE NO.
			Area Code
			()
ADDRESS OF YOUR CURRENT EMPLOYER			
		City or Town	State
		Zip Code	Country

Federal Disqualifying Criminal Offenses for Hazardous Materials Endorsement

NOTE: New York State's standards for disqualification are more stringent than the federal standards. Refer to NYS DMV form HAZ-11 for more information.

Part A: Permanently Disqualifying Criminal Offenses

A driver will be permanently disqualified from holding a hazmat endorsement if he or she was convicted (or found not guilty by reason of insanity) for any of the following felonies:

- a) Espionage
- b) Sedition
- c) Treason
- d) A federal crime of terrorism as defined in 18 U.S.C. 2332b(g), or a state law that is comparable
- e) A crime involving a transportation security incident
- f) Improper transportation of a hazardous material under 49 U.S.C. 5124 or a state law that is comparable
- g) Unlawful possession, use, sale, distribution, manufacture, purchase, receipt, transfer, shipping, transporting, import, export, storage of, or dealing in an explosive or explosive device
- h) Murder
- i) Making any threat, or maliciously conveying false information knowing the same to be false, concerning the deliverance, placement, or detonating of an explosive or other lethal device in or against a place of public use, a state or government facility, a public transportation system, or an infrastructure facility.
- j) Violations of the Racketeer Influenced and Corrupt Organizations Act, 18 U.S.C. 1961, et seq., or a state law that is comparable, where one of the predicate acts found by a jury or admitted by the defendant, consists of one of the offenses listed in this section
- k) Conspiracy or attempt to commit any of these crimes

Part B: Interim Disqualifying Offenses

A driver will be disqualified from holding a hazmat endorsement if he or she was convicted (or found not guilty by reason of insanity) within the previous seven years, or was released from prison in the last five years, for any of the following felonies:

- a) Unlawful possession, use, sale, manufacture, purchase, distribution, receipt, transfer, shipping, transporting, delivery, import, export of, or dealing in a firearm or other weapon
- b) Extortion
- c) Dishonesty, fraud, or misrepresentation, including identity fraud
- d) Bribery
- e) Smuggling
- f) Immigration violations
- g) Distribution of, intent to distribute, or importation of a controlled substance
- h) Arson
- i) Kidnapping or hostage taking
- j) Rape or aggravated sexual abuse
- k) Assault with intent to murder
- l) Robbery
- m) Fraudulent entry into a seaport as described in 18 U.S.C. 1036, or a comparable State law
- n) Violations of the Racketeer Influenced and Corrupt Organizations Act, 18 U.S.C. 1961, et seq., or a state law that is comparable, other than the violations listed in item (j) of Part A above ("Permanently Disqualifying Criminal Offenses")
- o) Conspiracy or attempt to commit any of these crimes

Part C: Under Want or Warrant

A driver will be disqualified from holding a hazmat endorsement if he or she is wanted or under indictment in any civilian or military jurisdiction for a felony listed under Part A or Part B, until the want or warrant is released.

Part D: Read each statement below, then check the appropriate box.

- | | | |
|--|------------------------------|-----------------------------|
| 1. Have you been convicted, or found not guilty by reason of insanity, of any disqualifying crime listed in Part A, in any jurisdiction, military or civilian, during the 7 years before the date of this application? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 2. Have you been released from incarceration in any jurisdiction, military or civilian, for committing any disqualifying crime listed in Part A, during the 5 years before the date of this application? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 3. Have you been convicted, or found not guilty by reason of insanity, of any disqualifying crime listed in Part B, in any jurisdiction, military or civilian? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 4. Are you wanted or under indictment for any disqualifying crime listed in Part C? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 5. Have you ever been adjudicated as lacking mental capacity, or involuntarily committed to a mental institution? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

READ THE INFORMATION AND ANSWER THE QUESTIONS ABOVE BEFORE SIGNING BELOW.

CERTIFICATION: I have disclosed any and all information with this application related to disqualifying crimes committed, as required by federal regulation 49 CFR 1572.5(b). I understand my continuing obligation to disclose to TSA within 24 hours if I am convicted or found not guilty by reason of insanity of any disqualifying crime, or if I am adjudicated as a mental defective or committed to a mental institution, while I have a hazardous materials endorsement for a CDL. The information I have provided on this application is true, complete and correct to the best of my knowledge and belief, and is provided in good faith. I understand that a knowing and willful false statement, or an omission of a material fact, on this application can be punished by fine or imprisonment or both (see section 1001 of Title 18 United States Code), and may be grounds for denial of a hazardous materials endorsement.

SIGN HERE  _____

DATE _____

