



## IMPORTANT NOTICE REGARDING CONVICTIONS PLEASE READ CAREFULLY

**Failure to accurately report a conviction (except as explained below) may result in an application being denied.** In this notice and on the application, the term “conviction” includes pleading guilty or nolo contendere (“no contest”) or being convicted by a court of any **misdemeanor or felony** in this state or elsewhere.

As part of the Contractors State License Board (CSLB) application process and as required by law, you must be fingerprinted if you have not been fingerprinted by CSLB before or if your previous fingerprint record was purged by CSLB due to a voided application; disassociated, revoked, or cancelled license or registration; or for some other reason. After an application is accepted by CSLB as complete (also known as “posted”), the applicant will be sent instructions on the process for obtaining and submitting fingerprints, usually via live scan electronic transmission.

Your fingerprints will be compared to the records of the California Department of Justice and the Federal Bureau of Investigation. **If you have ever been convicted of a crime, your criminal record information will be reported to CSLB.** This includes DUIs and other Vehicle Code violations resulting in a conviction. Even if you pled “no contest,” had the conviction set aside, or judgment deferred pursuant to Penal Code sections 1000 or 1203.4 (commonly understood as “expunged”), the past conviction will still be reported to CSLB and must be disclosed on the application. **However, some convictions or charges should NOT be disclosed, including the following:**

- **Convictions that were adjudicated in the juvenile court;**
- **Convictions under California Health and Safety Code sections 11357 (b), (c), (d), or (e) or section 11360 (b) that are two years old or older; and**
- **Charges that were dismissed as the result of successful completion of a deferred entry of judgment program (diversion program) under California Penal Code section 1000.3.**

**If you have ever been convicted of a crime (except in the three circumstances outlined above), you should answer “Yes” to the criminal conviction question on the application and provide a detailed explanation of the circumstances resulting in your conviction.** To help ensure that you provide the required information, you should complete and submit the Disclosure Statement Regarding Criminal Plea/Conviction form that is available on CSLB’s website on the Forms and Applications page.

Applicants with criminal convictions are not automatically denied licensure – each application is reviewed individually based on the applicable sections of law. When reviewing criminal convictions, CSLB considers factors such as the nature and severity of the crimes, the amount of time that has passed since the convictions, and any evidence of rehabilitation submitted by the applicant.

For rehabilitation evaluation, pursuant to Title 16, California Code of Regulations section 869, CSLB is generally looking for **three (3) years** to have passed after a misdemeanor conviction and **seven (7) years** to have passed after a felony conviction, without further violations of law. These timeframes are calculated from the applicant’s date of release from incarceration or from the end of probation if no time was served and are subject to reduction or extension based on several factors, including the nature of the applicant’s conviction history as a whole. In addition, any type of conviction could be considered substantially related to the qualifications or duties of a contractor when evaluated in the context of the applicant’s entire conviction record.

**Failure to accurately report any and all disclosable convictions may be grounds for denial of your application.** If your application is denied, you will be notified of the earliest date when you may re-apply, which will vary based upon the facts of each case, from one (1) to five (5) years from the effective date of the denial. (*Business and Professions Code sections 486 and 7073*)





## IMPORTANT NOTICE

Dear Applicant:

Enclosed is the application you requested from the Contractors State License Board (CSLB).

**Please be aware that nearly half of all applications submitted to CSLB are inaccurate or incomplete and must be returned to the applicant for correction or completion!**

**Please complete your application in its entirety to help ensure that your application is accepted for processing by CSLB.**

After an application has been accepted by CSLB as complete (also known as “posted”), the applicant will be sent instructions on the process for obtaining and submitting fingerprints, as required by law. (*Business and Professions Code sections 144 and 7069*)

An incomplete application can needlessly delay your license. Delays can last several weeks or even months and possibly result in the application being deemed abandoned and becoming void and the application fee being forfeited. (*Business and Professions Code sections 7074 and 7138 and Title 16, California Code of Regulations section 813*)

**Spending a few extra minutes now may save you weeks or months later!**

Before you submit your application, follow these simple directions.

- **Sign the form.**
- **Fill out all applicable information.**
- **Make sure you submit Certification of Work Experience forms that have been filled out completely, ensuring that you have provided four (4) years of applicable experience in the classification for which you are applying.**

**Carefully read the General Information and Instructions** that immediately precede the application package and follow the specific instructions that are contained throughout the application to help ensure that your application is complete and accurate.

**Please be aware that there are no schools or application preparation organizations that are affiliated with or agents of CSLB, although some may have company names that are confusingly similar to CSLB.** If you discover that an organization has misrepresented itself as being CSLB, you should submit a complaint to CSLB or the California Department of Consumer Affairs. Official CSLB examination study guides are available free of charge on CSLB’s website and are mailed to applicants when they are scheduled for exams.





## APPLICATION FOR ORIGINAL CONTRACTOR LICENSE – EXAMINATION WAIVER (7065) General Information and Instructions

### GENERAL INFORMATION

Listed below is general information to assist you as you complete the attached application. Detailed instructions are also provided that address the content of the application section by section. Please refer to the Applicants page on the Contractors State License Board's (CSLB) website ([www.cslb.ca.gov](http://www.cslb.ca.gov)) for more detailed information about the licensing process.

**Do not submit this General Information and Instructions portion with your completed application** – the actual application begins immediately following this section on Application – Page 1 of 4 and includes the Certification of Work Experience and the Request for License Number Reissuance form, if needed. Before you submit your application, please review and be sure you have complied with the following items.

- **Enclose the nonrefundable \$330 application fee with your completed application for licensure in a single classification.** Each additional classification requires payment of a \$75 fee at the time of application. CSLB cannot process your application without the appropriate fee made payable to the Registrar of Contractors. (The \$200 initial license fee should be submitted separately when requested by CSLB staff.) (*Business and Professions Code [BPC] sections 7137 and 7138*)
- **Your application may be returned to you if it is insufficient or incomplete.** You must provide any missing information, make corrections, and resubmit your completed application to CSLB within **90 days** after the date that it was returned to you or your application will be deemed abandoned and become void. You cannot reinstate an abandoned application – you must submit a new application and \$330 application fee if you wish to pursue licensure. (*BPC section 7074 and Title 16, California Code of Regulations [16 CCR] section 813*)

**Complete the application and all accompanying forms legibly in black or dark blue ink, with a typewriter, or on CSLB's website using the easy-fill feature.** Forms completed in pencil will be returned to you. Please make sure that you and other authorized individuals sign and date the forms, where applicable.

- **Leave no space blank.** If a particular question or request for information does not apply to you, write "N/A" in the blank space to indicate that the question has received your attention but that it is not applicable.
- The "#" symbol is used interchangeably with the word "number" where space is limited on the application.
- If you need additional copies of forms (e.g., Certification of Work Experience, Section 4 – Personnel Full Legal Names and Addresses), please make copies of the blank forms before you begin or print additional copies from the CSLB website, under the Forms and Applications page.

### SECTION 1 – BUSINESS NAME AND ADDRESS

#### Business Name Styles (Box 1)

In accordance with BPC section 7059.1, the business name for a contractor license **must not be misleading in relation to the classification(s) issued to the license and must be compatible with the type of business entity licensed** (*see Section 2 on next page*). For example, it would not be acceptable for ABC123 Tile to apply for a C-10 Electrical contractor license, but it would be acceptable for ABC123 Construction to apply for a B-General Building contractor license or for ABC123 Tile to apply for a C-54 Ceramic and Mosaic Tile contractor license. In addition, it would not be acceptable for a sole ownership or proprietorship to use the words "partners" or "incorporated" in its business name.

**If your business is a corporation or limited liability company (LLC), the business name shown on your application must match exactly the business name as registered with the Secretary of State (SOS).**

#### Business Addresses (Boxes 3a – 3c)

- You **must** provide an address of record (mailing address) for your business that will be made available to the public if you become licensed. This address will be published on CSLB's website and will also be used for service of all official correspondence, notices, and orders from CSLB, such as renewal notices. P.O. boxes and private mail boxes (PMB) are acceptable for the address of record.

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# APPLICATION FOR ORIGINAL CONTRACTOR LICENSE – EXAMINATION WAIVER (7065)

## General Information and Instructions (continued)

- You **must also** provide a business street address that will be used only for CSLB’s internal administrative purposes – it will not be published on CSLB’s website. P.O. boxes and PMBs are **not** acceptable for the business street address.
- You are also asked to provide business phone and business fax numbers and a business email address. The business phone number is published on CSLB’s website – the other contacts are for CSLB internal purposes and for service of official correspondence.

### **Classification(s) Requested (Box 2)**

Listed below are the contractor license classifications. More than one classification may be requested on an original application when the applicant is eligible for waiver of the examinations. For a complete description of the classifications, refer to the License Classifications link on the Applicants page of CSLB’s website. **Be sure to include the additional \$75 for each additional classification on your application.**

General Engineering.....	A	Lock and Security Equipment.....	C-28
General Building.....	B	Low Voltage Systems.....	C-7
Asbestos Abatement.....	C-22	Masonry.....	C-29
Boiler, Hot-Water Heating, and Steam Fitting.....	C-4	Ornamental Metal.....	C-23
Building Moving/Demolition.....	C-21	Painting and Decorating.....	C-33
Cabinet, Millwork, and Finish Carpentry.....	C-6	Parking and Highway Improvement.....	C-32
Ceramic and Mosaic Tile.....	C-54	Pipeline.....	C-34
Concrete.....	C-8	Plumbing.....	C-36
Construction Zone Traffic Control.....	C-31	Refrigeration.....	C-38
Drywall.....	C-9	Roofing.....	C-39
Earthwork and Paving.....	C-12	Sanitation System.....	C-42
Electrical.....	C-10	Sheet Metal.....	C-43
Elevator.....	C-11	Sign.....	C-45
Fencing.....	C-13	Solar.....	C-46
Fire Protection.....	C-16	Steel, Reinforcing.....	C-50
Flooring and Floor Covering.....	C-15	Steel, Structural.....	C-51
Framing and Rough Carpentry.....	C-5	Swimming Pool.....	C-53
General Manufactured Housing.....	C-47	Warm-Air Heating, Ventilating, and Air-Conditioning.....	C-20
Glazing.....	C-17	Water Conditioning.....	C-55
Insulation and Acoustical.....	C-2	Welding.....	C-60
Landscaping.....	C-27	Well Drilling (Water).....	C-57
Lathing and Plastering.....	C-35		
Limited Specialty (see below).....	C-61		

The C-61 Limited Specialty classification is for contractors who specialize in work not listed above (for example, C-61/D-50 Suspended Ceilings) or who perform work that is a specialized part of an existing classification (for example, C-61/D-29 Paper Hanging). The License Classifications link contains a full listing of the subcategories of the C-61 classification.

### **SECTION 2 – BUSINESS ENTITY (Box 4)**

Applicants must designate their business entity. Below is information on the four business entity types that are covered by this application. Contractor licenses are also issued to joint ventures – such applicants must submit the separate Application for Joint Venture License, available on CSLB’s website on the Forms and Applications page.

Corporations and LLCs must be registered and in good standing (not suspended or otherwise restricted) with SOS in order to be licensed or remain licensed with CSLB. SOS can be reached by calling (916) 653-6814 or by visiting their website at [www.sos.ca.gov](http://www.sos.ca.gov). Applicants who wish to change their business entity after the application is posted should withdraw their application and submit a new one.

#### **Sole Ownership**

Check the “Sole Ownership” box if the business is owned by only one individual person (not a corporation).

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# APPLICATION FOR ORIGINAL CONTRACTOR LICENSE – EXAMINATION WAIVER (7065)

## General Information and Instructions *(continued)*

### Partnership

Check the “Partnership” box if the business is a partnership. Partnerships must be registered with the California SOS and in good standing prior to being issued a contractor license. Partnerships must provide their Federal Employer Identification Number (FEIN), which is available from the U.S. Internal Revenue Service (IRS). The IRS can be reached by calling (800) 829-1040. Personal Social Security numbers are **not** acceptable for the FEIN. (See note on Page 8 of the General Information and Instructions regarding collection of FEINs.)

### Corporation

Check the “California Corporation” box if the business is a corporation. Corporations must be registered with the California SOS and in good standing prior to being issued a contractor license. Corporations must provide a current and active SOS registration number where indicated in Section 2 of the application.

### Limited Liability Company

Check the “Limited Liability Company” box if the company is a limited liability company. LLCs must be registered with the California Secretary of State and in good standing prior to being issued a contractor license. LLCs must provide a current and active registration number where indicated in Section 2 of the application.

If your business entity is an LLC, before you submit your application, please be aware that the following additional requirements apply to LLC licenses (*please refer to CSLB’s website and the Bond, Insurance, and Other Licensure Requirements section on Page 6 of the General Information and Instructions for more information*):

- **\$100,000 Surety Bond.** LLC licenses are required to file a \$100,000 surety bond (in addition to the \$15,000 contractor’s bond) for the issuance, reissuance, reinstatement, reactivation, and renewal of an LLC license for the benefit of any employee or worker damaged by the LLC’s failure to pay wages, interest on wages, or fringe benefits, as well as other contributions. (*BPC sections 7071.6 and 7071.6.5*)
- **\$1 Million Liability Insurance Minimum.** LLC licenses are required to carry liability insurance with the aggregate limit of \$1 million for licensees with five or fewer persons and/or companies listed as personnel of record, plus an additional \$100,000 required for each additional personnel, not to exceed \$5 million total. (*BPC section 7071.19*)
- **Personnel of Record.** Every person and/or company that is an officer, responsible manager, member, or director of an LLC must be listed as personnel of record on LLC applications. All individuals who are members of the personnel of record are subject to fingerprinting. LLC managers and members must be listed on the LLC’s record with SOS. LLCs may also have additional officers who are not included on the official SOS record, but who must be listed on this application and who will become part of the contractor license. CSLB staff will verify the number of managers and members listed on the SOS record for LLCs in order to ensure that the number of personnel listed on this application is correct and to accurately calculate the required amount of the LLC liability insurance, as discussed above. (*BPC section 7065*)

### SECTION 3 – QUALIFYING INDIVIDUAL FULL LEGAL NAME AND ADDRESS

The terms “**qualifying individual**” and “**qualifier**” are used interchangeably. The qualifying individual is the person who meets the experience and examination requirements for the license and who is responsible for exercising that **direct supervision and control** of their employer’s or principal’s construction operations to secure compliance with CSLB’s laws, rules, and regulations. (*BPC section 7068.1 (a)*) “Direct supervision and control” includes any one or any combination of the following activities: supervising construction, managing construction activities by making technical and administrative decisions, checking jobs for proper workmanship, or direct supervision on construction job sites. (*T16 CCR section 823 (b)*)

A qualifying individual is required for every classification on every license issued by CSLB. The qualifying individual may or may not be the owner of the business.

You must complete the personnel information requested in Sections 3 and 4 of the application for each individual and company that will be listed on the license.

- **Line 5a – Full legal names are required.** You must provide the full legal name of all personnel. If an individual does not have a middle name, write “None” or “No Middle Name” in the space provided. If an individual has only an initial for their first or middle name, write “(Initial Only)” after the initial.
- Provide qualifier’s date of birth where requested.

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# APPLICATION FOR ORIGINAL CONTRACTOR LICENSE – EXAMINATION WAIVER (7065)

## General Information and Instructions *(continued)*

- **All applicants are required to provide their U.S. Social Security number (SSN) or individual taxpayer identification number (ITIN) if an individual does not have an SSN.** (See note on Page 8 of the General Information and Instructions regarding collection of SSNs and ITINs.)
- **Box 5b** – You must **also** provide a residence street address for the qualifier that will be used only for CSLB’s internal administrative purposes – it will not be published on CSLB’s website. P.O. boxes and PMBs are **not** acceptable for the qualifier’s residence street address.
- **Line 6** – Please enter any previous or current license numbers held by the qualifier in the provided box. Also enter the percentage of the new business owned/held by the qualifier (ranging between zero and 100%), the qualifier’s driver license number, and the qualifier’s home phone number.
- **Box 7** – Check the appropriate box that identifies the title/position of the qualifying individual. The **title/position must be compatible with the business entity type**, as entered in Section 2 above. Please be sure your selected title/position complies with the following:
  - **Sole ownership entity** = either Owner or Responsible Managing Employee (RME) – see below
  - **Partnership entity** = either Qualifying Partner or RME
  - **Corporation entity** = either Responsible Managing Officer (RMO) or RME – California corporations **must** identify three corporate officers (president, secretary, and treasurer) in Sections 3 and 4; out-of-state/foreign corporations **must** identify their president only. The officers must match SOS records.
  - **LLC entity** = any one of RMO, Responsible Managing Manager, Responsible Managing Member, or RME – If an LLC has officers, the name(s) of their officer(s) must be listed on the application – president, secretary, and treasurer. Please be sure to write these titles in the space provided for the appropriate personnel in Sections 3 and 4 and be sure that they match those listed on SOS records.
- An **RME is a bona fide employee** who is permanently employed by the applicant and is actively engaged in the operation of the applicant’s contracting business for at least 32 hours or 80% of the total hours per week such business is in operation, whichever is less. (*T16 CCR section 823 (a)*)
- **Box 8** – You **must** print and sign your name and indicate the date below the certification statement at the bottom of Page 1 of the application. Failure to sign your application will result in the application being returned to you for correction.

### **SECTION 4 – PERSONNEL FULL LEGAL NAMES AND ADDRESSES** (Other than Qualifying Individual)

You must complete the personnel information requested in Section 4 of the application for each individual and company that will be listed as personnel on the license, other than the qualifier listed in Section 3. Be sure to include the applicant’s business name at the top of the page where requested.

- See Section 3 above for instructions on how to complete the specific fields of this section. If more than four (4) personnel must be reported, please make a copy of the blank form. All personnel **must** print and sign their names below the certification statement and include the date that the form was signed.
- LLC applicants must list **every responsible manager, member, and officer** on the application for licensure. Every LLC is required to have at least one manager or member. **The personnel on the application must be consistent with the number, types, and names of personnel listed on SOS records for that LLC.** For example, if SOS lists five (5) managers and members on the LLC’s record, the application must list the same number and names of managers and members. In addition, LLCs can have officers who are neither managers nor members – those individuals must also be reported as officers on the application for licensure even though they are not listed on SOS records.
- LLCs can have managers or members that are corporations or other LLCs, as opposed to individual people. When an LLC has corporate or LLC managers or members, complete Section 4 for those companies, omitting the fields that do not apply (e.g., date of birth, SSN/ITIN, and driver license number). Since corporations and LLCs are incapable of signing documents (e.g., applications, renewals, etc.), you must also report at least one individual person who will be an authorized signer for that company. To do this, you must complete a portion of Section 4 using this individual person’s personal information. Identify that person as the “Authorized Signer for \_\_\_\_\_” (inserting the name of the corporation or LLC on behalf of which the individual person will sign). This individual person will not be part of the official license personnel of record (unless they are also an actual responsible manager, member, or officer of the applicant LLC), but their information will be maintained by CSLB for signing purposes only.

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# APPLICATION FOR ORIGINAL CONTRACTOR LICENSE – EXAMINATION WAIVER (7065)

## General Information and Instructions *(continued)*

### **SECTION 5 – REQUIRED APPLICATION QUESTIONS**

Be sure to include the applicant's business name at the top of the page where requested. **All** questions must be answered, unless otherwise indicated, and all requested explanations and documentation must be provided or the application will be returned to the applicant for correction. Failure to thoroughly and accurately complete this section of the application can delay the licensure process or result in denial of the application.

#### **Conviction Question and Fingerprint Requirement (Question 11)**

**Please be sure to read the Important Notice Regarding Convictions on the front of this application packet.** All applicants for licensure are required to submit a full set of fingerprints for the purpose of conducting a criminal background check. Fingerprints will be compared to the records of the California Department of Justice (DOJ) and the Federal Bureau of Investigation (FBI) to determine whether a criminal history exists. Your license will not be issued until CSLB receives fingerprint results from both DOJ and FBI. Even though you may have been fingerprinted previously for an employer or another regulatory body, you will need to undergo the fingerprinting and criminal history check process again specifically for CSLB. California Penal Code section 11142 prohibits criminal history information from being released to any entity other than the requesting agency that you have authorized to receive it.

After an application has been accepted by CSLB as complete (also known as "posted"), each individual listed on the application who needs to fingerprint will be sent instructions on the process for obtaining and submitting fingerprints. Individuals in California are required to fingerprint by electronic live scan; individuals outside of California can fingerprint either by electronic live scan in California or by submitting hard card fingerprints that are completed in the applicant's location, as explained in the instructions that are sent after the application has been posted. For more information, please visit CSLB's website and view information on the Applicants page.

### **SECTION 6 – QUALIFIER EDUCATION, APPRENTICESHIP, AND MILITARY**

#### **Education and Apprenticeship (Question 16)**

CSLB may grant up to three years of credit toward the four-year experience requirement for completed training in an accredited school and/or apprenticeship programs in the construction trade for which the applicant is applying. (*T16 CCR section 825*) For formal education, the applicant's **educational institution must submit a certified official transcript directly to CSLB in a sealed envelope.** Degrees obtained outside of the United States must be translated, if in a language other than English, and evaluated by an accredited evaluation service in the U.S.

For apprenticeship programs, applicant must submit a copy of their apprenticeship certificate and indicate the dates of the program where requested on the application.

#### **Military (Questions 17 and 18)**

CSLB approves expedited processing and/or grants credit toward the experience requirements for current and former active duty military and their spouses or domestic partners under specific circumstances, as explained below.

#### **Expedited Processing for Military Personnel and Their Spouses or Domestic Partners**

CSLB is required to **expedite** the licensure process for a **sole ownership entity applicant** who:

- was honorably discharged after having served as an active duty member of the Armed Forces of the United States; **OR**
- holds a current license in another state, district, or territory of the United States in the same contractor classification for which they are applying **and** who is married to, or in a domestic partnership or other legal union with, a current active duty member of the Armed Forces of the United States who is assigned to a duty station in California under official active duty military orders.

Please see the military questions (numbers 17 and 18) under Section 6 of the application. If you answer "Yes" to either of the questions and you would like to be considered for this expedited processing, please provide the documentation requested under the question, including evidence of your current military duty (copy of your military orders) or your previous military service (copy of your DD 214 – Certificate of Release or Discharge from Active Duty). (*BPC sections 114.5, 115.4, and 115.5*)

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# APPLICATION FOR ORIGINAL CONTRACTOR LICENSE – EXAMINATION WAIVER (7065)

## General Information and Instructions *(continued)*

### Credit for Military Experience and Training

CSLB may grant credit toward licensure requirements for applicable work experience and training received while the applicant was an active duty member of the Armed Forces of the United States performing duties in the classification for which they are applying. This work experience and training must be reported on a Certification of Work Experience form (see *Page 8 of the General Information and Instructions*) and must be supported by acceptable documentation, including evidence of current military duty (copy of applicant's military orders) or previous military service (copy of applicant's DD 214 – Certificate of Release or Discharge from Active Duty). If you are claiming military experience, please be sure to check the "Yes" box for Question 17 on the application, as discussed above.

### MISCELLANEOUS INFORMATION

#### Experience and Examination Information

Applicants for licensure must meet specific experience and examination requirements, as explained below. Waivers of the trade examination may be granted under certain circumstances, as indicated below. If you qualify for examination waiver, this is the correct application to use.

#### Experience Requirements

To be eligible for licensure, the qualifying individual must have had, within the last 10 years, at least four (4) years of experience in the classification for which they are applying. The experience must be at no less than a journeyman level, or as a foreman, supervising employee, contractor, or owner-builder. A "journeyman" is defined as an experienced worker who is fully qualified (as opposed to a trainee) and is able to perform the trade without supervision, or one who has completed an apprenticeship program. **Experience is documented on the Certification of Work Experience form** (see *Page 8 of the General Information and Instructions*). (T16 CCR section 825)

#### Examination Waivers and Exemptions

The examination may be waived if the qualifying individual has served as the qualifier for a licensee whose license was in good standing during the last five (5) years and the license was in the same classification for which they are applying, or if the qualifier has within the last five (5) years personally passed the required exams in the same classification for which they are applying. (BPC section 7065.) An examination may also be waived under certain circumstances – for example, if the qualifying individual has for five (5) of the last seven (7) years been actively engaged in the construction business and meets specific waiver requirements as mandated by law or has actively participated in a family business and is an immediate family member of that license's qualifier. You must list and have evidence of your experience and involvement in the business you think qualifies you for the waiver. (See BPC sections 7065.1-7065.4 for a complete list of waiver criteria or exemptions.)

In addition, the trade examination may be waived if the qualifier meets the requirements for reciprocity based on licensure in the same classification in Arizona, Nevada, and/or Utah (see CSLB's website for more information on reciprocity under the Applicants page). The Law and Business Examination is still required for such applicants; therefore, do not use this application – use the Application for Original Contractor License instead.

To apply for a waiver of the examination, you should complete this Application for Original Contractor's License – Examination Waiver (7065). Once your application has been posted, you must submit the \$200 initial license fee, and the bond, insurance, and other licensure requirements as shown on the following page, in addition to complying with the following:

- No person can act as the qualifier for more than one individual or firm unless: 1) there is common ownership of at least 20% of the equity of each business for which they act as the qualifier; 2) the additional firm is a subsidiary of or joint venture with the first ("subsidiary" means any business at least 20% of the equity is owned by the other business); **or** 3) the majority of officers, partners, or managers of the firms are the same. In such cases, a qualifying individual may act as the qualifier for no more than three (3) firms in any one-year period.

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# APPLICATION FOR ORIGINAL CONTRACTOR LICENSE – EXAMINATION WAIVER (7065)

## General Information and Instructions *(continued)*

### **Bond, Insurance, and Other Licensure Requirements**

**All contractors** must meet specified bond, insurance, and other requirements for licensure with CSLB, as indicated on the following page. Failure to maintain a required bond or insurance may result in the suspension of the license. For information on bonds, see *A Guide to Contractor License Bonds*, available on CSLB website's Guides and Publications page. Bonds may be submitted to CSLB electronically by the issuing surety company.

### **Contractor's Bond**

**All contractors** must have a \$15,000 contractor's bond on file with CSLB. *(BPC section 7071.6)*

### **Bond of Qualifying Individual**

An **additional** \$12,500 Bond of Qualifying Individual must be filed for **all** contractors that are qualified by an RME, or under certain other circumstances for corporate and LLC contractors when the qualifying individual (RMO, responsible managing manager, or responsible managing member) holds less than 10 percent (10%) of the voting stock of the corporation or membership interest in the LLC. An RME can act as the qualifier on only one active license at a time. *(BPC section 7071.9)*

### **LLC Employee/Worker Bond**

**All** LLC licenses are required to have an **additional** \$100,000 employee/worker bond on file with CSLB for the benefit of workers employed by or contracted to work for an LLC. *(See Section 2 – Business Entity on Page 3 of this General Information and Instructions for LLC information.) (BPC section 7071.6.5)*

### **Disciplinary Bond**

An applicant whose license has been disciplined may be required to have an **additional** disciplinary bond on file with CSLB in an amount fixed by the Registrar at not less than \$15,000 or more than \$150,000. *(BPC section 7071.8)*

### **Workers' Compensation Insurance**

Workers' compensation is required when a contractor has employees, including an RME and home improvement salespersons, and for all contractors applying for the C-39 Roofing classification. The Certificate of Insurance must be issued in the business name shown on this application. Contractors that have no employees and are not otherwise required to have workers' compensation insurance can complete the Exemption from Workers' Compensation form, available on CSLB website's Forms and Applications page. *(BPC section 7125)*

### **LLC Liability Insurance**

**All** LLC licenses are required to have liability insurance in an amount that is not less than one million dollars (\$1,000,000) or more than five million dollars (\$5,000,000). The amount is determined based on the number of personnel of record on the license. For five (5) or fewer personnel, the amount is not less than \$1 million. For each additional member of the personnel, the amount increases \$100,000, up to a maximum of \$5 million. For example, an LLC that has eight (8) personnel would be required to carry a \$1,300,000 liability insurance policy. *(See Section 2 – Business Entity on Page 3 of the General Information and Instructions for LLC information.) (BPC section 7071.19)*

### **Asbestos Open Book Examination**

**All** qualifiers who have not yet completed one must complete the Asbestos Open Book Examination on behalf of the applicant, which can be downloaded from the Applicants page on CSLB's website. *(BPC section 7058.5)*

### **Construction Management Education Account**

A grant program was established in 1991 to provide funds for qualified public post-secondary schools that teach construction management. Applicants may voluntarily contribute to the account when paying the application fee or the license renewal fee. If you would like to make a voluntary contribution, please mark the box on the top portion of Page 1 of the application, write in the dollar amount in the space provided, and include that dollar amount in the total amount paid with your application, or submit a separate check or money order made payable to CSLB/Construction Management Education Account. *(See BPC sections 7139.2 and 7139.4.)*

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Please detach this General Information and Instructions portion before submitting the completed application package to CSLB.

# APPLICATION FOR ORIGINAL CONTRACTOR LICENSE – EXAMINATION WAIVER (7065)

## General Information and Instructions *(continued)*

### **Collection of Social Security Numbers, Individual Taxpayer Identification Numbers, and Federal Employer Identification Numbers**

With the exception of the driver license number, all information requested on the application is mandatory, including disclosure of U.S. Social Security numbers (SSN), individual taxpayer identification numbers (ITIN), and federal employer identification numbers (FEIN). Collection of the SSN, ITIN, and FEIN is authorized by BPC sections 30, 31, and 7145.5. SSNs, ITINs, and FEINs are used exclusively for the purpose of tax enforcement, compliance with any judgment or order for family support in accordance with Family Code section 17520, measurement of employment outcomes of students who participate in career technical education programs offered by the California Community Colleges as required by BPC section 30, and investigation of tax evasion and violations of cash-pay reporting laws as set forth in Section 329 of the Unemployment Insurance Code. If you fail to disclose your SSN, ITIN, or FEIN, your application will not be processed and you will be reported to the Franchise Tax Board; they may assess a \$100 penalty against you.

### **Collection of Personal Information**

CSLB collects the personal information requested on the following form as authorized by BPC sections 30, 31, 114.5, 115.5, 141, 480, 7065, 7066, 7069, 7072, 7073, and 7145.5 and T16 CCR section 816. CSLB uses this information to identify and evaluate applicants for licensure, issue and renew licenses, and enforce licensing standards set by law and regulation. Submission of the requested information is mandatory. CSLB cannot consider your application for licensure unless you provide all of the requested information. You may review the records maintained by CSLB that contain your personal information, unless the records are identified as confidential information and exempted from disclosure by the Information Practices Act, including Civil Code section 1798.40. CSLB makes every effort to protect the personal information you provide us; however, it may be disclosed in response to a Public Records Act request as allowed by the Information Practices Act; to another government agency as required by state or federal law or as provided in Civil Code section 1798.24; or in response to a court or administrative order, a subpoena, or a search warrant. The Custodian of Records is responsible for maintaining the information on this form and may be contacted at the address and telephone number listed in the letterhead at the top of this General Information and Instructions portion for questions about this notice or access to records.

### **Resources on the Licensing Process**

CSLB has a variety of publications available to help you become a licensed contractor and to maintain your license. You can order free publications from or view documents on CSLB's website ([www.cslb.ca.gov](http://www.cslb.ca.gov)), by writing to CSLB at the address listed below, or by calling the 24-hour automated phone system at (800) 321-CSLB (2752). Please visit CSLB's website or call the toll-free phone number to find out how to order the current edition of the *California Contractors License Law & Reference Book* or other publications.

### **Application Processing – Follow Your Application Status Online**

When CSLB receives your application, an acknowledgement letter will be sent to you that explains how to check the status of your application online at [www.cslb.ca.gov](http://www.cslb.ca.gov) or by calling (800) 321-CSLB (2752) using the Application Fee Number and Personal Identification Number (PIN) provided in the acknowledgement letter. Please include your Application Fee Number in any correspondence. All correspondence should be sent to:

Contractors State License Board  
9821 Business Park Drive  
Sacramento, CA 95827-1703  
Mailing Address: P.O. Box 26000, Sacramento, CA 95826-0026

### **CERTIFICATION OF WORK EXPERIENCE FORM**

Following the application in this packet, you will find the **Certification of Work Experience form that must be completed by the qualifying individual on the application and certified by a qualified and responsible person who has direct knowledge of the experience and time period listed.** The experience must be verifiable through payroll records or similar documents. **Corrections on the Certification forms must be initialed by the certifier.** **Forms containing strikeouts or modifications that may compromise the validity of the work experience certification may not be accepted.**

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Please detach this General Information and Instructions portion before submitting the completed application package to CSLB.

## APPLICATION FOR ORIGINAL CONTRACTOR LICENSE – EXAMINATION WAIVER (7065)

### General Information and Instructions *(continued)*

To assist the certifier in completing Part 2 of the Certification of Work Experience form, a listing of the License Classifications is available through a link on the Applicants page of CSLB's website. This document may be used as a reference only when listing the specific trade duties that the qualifier has performed or supervised in the classification for which they are applying.

The Certification of Work Experience form may not be required if the qualifier has ever served as the qualifier on a license in the same classification for which they are currently applying.

#### **OWNER-BUILDER B-GENERAL BUILDING CONSTRUCTION PROJECT EXPERIENCE FORM**

Pursuant to BPC section 7044, owners who build or improve structures on their own property are exempt from the licensure laws for contractors under certain circumstances. **The Owner-Builder B-General Building Construction Project Experience form must be completed by the qualifier on the application if they are claiming experience as an owner-builder working on projects on their own property.** The experience must be verifiable through building permits, final inspections, or similar documents. Please see CSLB's website for the listing of Acceptable Supporting Experience Documentation that may be submitted to CSLB to support claimed experience. *(BPC section 7068 and T16 CCR 824)* A separate form is required for each project on the owner-builder's property.

#### **REQUEST FOR LICENSE NUMBER REISSUANCE**

If the qualifier has previously been licensed, it may be possible to have the previous license number reissued or reassigned under certain circumstances. Sole ownership license numbers may be reissued to corporations or LLCs, and corporate license numbers may be reissued to LLCs. **To request the reissuance of a license number, the applicant must complete and submit with their application the Request for License Number Reissuance form (13A-1h).** The form outlines the specific circumstances under which an existing license number may be reissued to a new business entity. Such applicants must indicate whether they want the existing license number reissued to the new license or they **do not** want the existing license number reissued, but instead want a new license number issued to the new license. *(BPC section 7075.1)*



CONTRACTORS STATE LICENSE BOARD

9821 Business Park Drive, Sacramento, CA 95827
Mailing Address: P.O. Box 26000, Sacramento, CA 95826
800-321-CSLB (2752) | www.cslb.ca.gov | CheckTheLicenseFirst.com

STATE OF CALIFORNIA
Governor Gavin Newsom

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Application for Original Contractor License – Examination Waiver (7065)

Application Fees

- Single classification.....\$330
Each additional classification .....\$75
Initial license fee .....\$200
Total fees required for original license...\$530

The application fee for a single classification (\$330) is not refundable once the application has been submitted. Attach a money order or a personal, business, certified, or cashier's check made payable to the Registrar of Contractors. Do not send cash. There is a \$10 service charge for each dishonored check.

Voluntary contribution to Construction Management Education Account .....

Please type or print neatly and legibly in black or dark blue ink.

SECTION 1 –BUSINESS NAME AND ADDRESS

Business Name: The legal business name will appear on the license and is the actual name under which the contracting business will operate. The full business name must be provided. The business name must not be misleading in relation to the classification(s) issued for that license and must be compatible with the type of business entity licensed.

1. FULL NEW BUSINESS NAME
2. CLASSIFICATION(S) REQUESTED
3a. BUSINESS MAILING ADDRESS
3b. BUSINESS STREET ADDRESS
3c. BUSINESS PHONE NUMBER, BUSINESS FAX NUMBER, BUSINESS EMAIL ADDRESS

SECTION 2 – BUSINESS ENTITY

Corporations must provide a current and active California Secretary of State corporate registration number below. Partnerships must list their Federal Employer Identification Number (FEIN) below. Limited liability companies (LLC) must provide a current and active California Secretary of State registration number below.

4. NEW BUSINESS WILL OPERATE AS A
Sole Ownership, Partnership – Federal Employer ID #, California Corporation #, Limited Liability Company #

SECTION 3 – QUALIFYING INDIVIDUAL FULL LEGAL NAME AND ADDRESS

Qualifying Individual (Qualifier): A qualifying individual is required for every classification on every license issued by CSLB. See NOTE below about multiple qualifiers. You must provide full legal names of all individuals.

5a. QUALIFIER'S FULL LEGAL NAME
5b. RESIDENCE ADDRESS
6. QUALIFIER'S EXISTING / PREVIOUS CSLB LICENSE NUMBER(S)
7. TITLE OR POSITION
8. I certify under penalty of perjury under the laws of the State of California that all statements, answers, and representations made in this application...

Date, Signature, Printed Name

(NOTE: If this license will have multiple qualifiers for multiple classifications, please make a copy of this blank page and complete boxes 1 and 2 in Section 1 and all of Section 3 for each additional qualifier.)

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Applicant's Business Name (as listed in Section 1 of this application): \_\_\_\_\_

(If additional space is needed, please make a copy of this blank page.)

**SECTION 4 – PERSONNEL FULL LEGAL NAMES AND ADDRESSES (Other than Qualifying Individual)**

The following must be **completed by all individuals and companies that will be listed on the license**. You must provide **full legal names** of all individuals. Each individual must sign the certification under penalty of perjury. (The definition of "perjury" is telling a lie while under oath.) (See Page 4 of the General Information and Instructions regarding company personnel.)

9a. PERSONNEL FULL LEGAL NAME Last First Middle			DATE OF BIRTH	SOCIAL SECURITY NUMBER or ITIN	
RESIDENCE ADDRESS Number/Street Only – <b>NO</b> P.O. Boxes or PMBs City State			ZIP Code	DRIVER LICENSE #	
TITLE OR POSITION (check only one) <input type="checkbox"/> Owner <input type="checkbox"/> General Partner <input type="checkbox"/> Limited Partner <input type="checkbox"/> Member <input type="checkbox"/> Manager <input type="checkbox"/> Officer - Title(s) _____				RESIDENCE PHONE NUMBER ( )	
I certify under penalty of perjury under the laws of the State of California that all statements, answers, and representations made in this application, including all supplementary statements attached hereto, are true and correct, and that I have reviewed the entire contents of this application. In signing and submitting this application, I also authorize the Franchise Tax Board to provide CSLB with required tax information pursuant to BPC section 7145.5.					
Date	Signature			Printed Name	

9b. PERSONNEL FULL LEGAL NAME Last First Middle			DATE OF BIRTH	SOCIAL SECURITY NUMBER or ITIN	
RESIDENCE ADDRESS Number/Street Only – <b>NO</b> P.O. Boxes or PMBs City State			ZIP Code	DRIVER LICENSE #	
TITLE OR POSITION (check only one) <input type="checkbox"/> Owner <input type="checkbox"/> General Partner <input type="checkbox"/> Limited Partner <input type="checkbox"/> Member <input type="checkbox"/> Manager <input type="checkbox"/> Officer - Title(s) _____				RESIDENCE PHONE NUMBER ( )	
I certify under penalty of perjury under the laws of the State of California that all statements, answers, and representations made in this application, including all supplementary statements attached hereto, are true and correct, and that I have reviewed the entire contents of this application. In signing and submitting this application, I also authorize the Franchise Tax Board to provide CSLB with required tax information pursuant to BPC section 7145.5.					
Date	Signature			Printed Name	

9c. PERSONNEL FULL LEGAL NAME Last First Middle			DATE OF BIRTH	SOCIAL SECURITY NUMBER or ITIN	
RESIDENCE ADDRESS Number/Street Only – <b>NO</b> P.O. Boxes or PMBs City State			ZIP Code	DRIVER LICENSE #	
TITLE OR POSITION (check only one) <input type="checkbox"/> Owner <input type="checkbox"/> General Partner <input type="checkbox"/> Limited Partner <input type="checkbox"/> Member <input type="checkbox"/> Manager <input type="checkbox"/> Officer - Title(s) _____				RESIDENCE PHONE NUMBER ( )	
I certify under penalty of perjury under the laws of the State of California that all statements, answers, and representations made in this application, including all supplementary statements attached hereto, are true and correct, and that I have reviewed the entire contents of this application. In signing and submitting this application, I also authorize the Franchise Tax Board to provide CSLB with required tax information pursuant to BPC section 7145.5.					
Date	Signature			Printed Name	

9d. PERSONNEL FULL LEGAL NAME Last First Middle			DATE OF BIRTH	SOCIAL SECURITY NUMBER or ITIN	
RESIDENCE ADDRESS Number/Street Only – <b>NO</b> P.O. Boxes or PMBs City State			ZIP Code	DRIVER LICENSE #	
TITLE OR POSITION (check only one) <input type="checkbox"/> Owner <input type="checkbox"/> General Partner <input type="checkbox"/> Limited Partner <input type="checkbox"/> Member <input type="checkbox"/> Manager <input type="checkbox"/> Officer - Title(s) _____				RESIDENCE PHONE NUMBER ( )	
I certify under penalty of perjury under the laws of the State of California that all statements, answers, and representations made in this application, including all supplementary statements attached hereto, are true and correct, and that I have reviewed the entire contents of this application. In signing and submitting this application, I also authorize the Franchise Tax Board to provide CSLB with required tax information pursuant to BPC section 7145.5.					
Date	Signature			Printed Name	

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## SECTION 5 – REQUIRED APPLICATION QUESTIONS

**All questions in this section must be answered.** Questions 10 - 13 pertain to all individuals listed on this application (qualifying individual and all personnel listed in Section 4), and Questions 14 – 15 pertain to the qualifying individual only. If you checked "Yes" in response to any question, the person involved must attach a separate sheet with a detailed explanation for each situation.

- 10. To the best of your knowledge, is anyone listed on this application (or any company the person is or was a part of) named in or responsible for any unsatisfied final judgments, liens, and/or claims against any bond or cash deposit pertaining to a construction project?**

Yes  No

If you checked "Yes" for this question, you are required to attach a statement identifying all judgments (pending or on record), liens, past due unpaid bills, claims, or suits and a detailed explanation of the situation. Include the names and addresses of the parties involved. If the obligation was or is being discharged in bankruptcy, attach a copy of the bankruptcy filing and a copy of the creditors list.

- 11. Has anyone listed on this application EVER been convicted of or entered a plea of guilty or nolo contendere to ANY misdemeanor or felony in the United States or a foreign country? NOTE:**

Convictions that were later expunged from the records of the court or set aside pursuant to California Penal Code sections 1203.4, 1203.4a, or 1203.41 or an equivalent non-California law **MUST be disclosed.**

However, some convictions **should NOT be disclosed**, including the following:

- Convictions that were adjudicated in the juvenile court;
- Convictions under California Health and Safety Code sections 11357 (b), (c), (d), or (e) or section 11360 (b) that are two years old or older; and
- Charges that were dismissed as the result of successful completion of a deferred entry of judgment program (diversion program) under California Penal Code section 1000.3.

Yes  No

If you checked "Yes" for this question, please attach a statement disclosing **all** pleas/convictions, including violated law sections, and thoroughly explain the acts or circumstances that resulted in the plea/conviction. In addition, the following information must be included for **each** plea/conviction: date of the plea/conviction, level of the plea/conviction (i.e., misdemeanor or felony), county and state where the violation took place, name of the court, court case number, sentence imposed, jail/prison term served, terms and conditions of parole or probation, parole or probation completion dates, and parole agent/probation officer names and phone numbers. If you have obtained a dismissal of your conviction(s) pursuant to Penal Code sections 1203.4, 1203.4a, or 1203.41, please submit a certified copy of the court order dismissing the conviction(s) with your application. **You may submit the required information using the Disclosure Statement Regarding Criminal Plea/Conviction form that is available on the Forms and Applications page on CSLB's website.**

***The information provided will be verified through CSLB's fingerprinting requirement. Failure to report a disclosable plea/conviction may be grounds for denial of your application.***

- 12. To the best of your knowledge, has anyone on this application (or any company the person is or was a part of) ever received a citation from CSLB or had a contractor license or other professional or vocational license or registration denied, suspended, revoked, or otherwise disciplined by this state or elsewhere (i.e., other U.S. state, U.S. territory, agency of the federal government, or other country)?**

Yes  No

For the purposes of responding to this question, "disciplined" is an administrative action that resulted in a restriction or penalty being placed on any license you have or have possessed, such as a revocation, suspension, probation, or reprimand.

(Check "No" if the license or registration was suspended due to lack of a bond, workers' compensation or other required insurance, a qualifier, or family support.)

If you checked "Yes" for this question, you are required to attach a statement detailing the events leading to this action.

- 13. To the best of your knowledge, has anyone on this application failed to resolve any outstanding final liabilities, which include taxes, additions to tax, penalties, interest, and any fees that may be assessed by CSLB, the Department of Industrial Relations, the Employment Development Department, the Franchise Tax Board, or the State Board of Equalization (BOE)?**

Yes  No

If you checked "Yes" for this question, you are required to attach a statement regarding the outstanding liabilities. In relation to BOE liabilities, you must indicate if you have entered into an installment payment agreement for that liability with the BOE and provide verification that you are in compliance with the terms of that agreement, if applicable.

- 14. (This question must be answered by the qualifying individual.)** Direct supervision and control over construction operations includes any one or a combination of the following activities: supervising construction, managing construction activities by making technical and administrative decisions, checking jobs for proper workmanship, or direct supervision on construction job sites. **Will you, as the qualifying individual, perform one or more of these duties?**

Yes  No

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Applicant's Business Name (as listed in Section 1 of this application): \_\_\_\_\_

15. **(This question must be answered only if the qualifying individual is a responsible managing employee [RME].)** Title 16, California Code of Regulations (T16 CCR) section 823 states that an RME must be permanently employed by the applicant and actively engaged in the operation of the business for at least 32 hours per week or 80% of the total hours per week that the business is in operation. **Will you, as the responsible managing employee, meet the requirement of T16 CCR section 823 cited above?**  Yes  No

## **SECTION 6 – QUALIFIER EDUCATION, APPRENTICESHIP, AND MILITARY**

**Applicants must answer the following questions.** Applicants may be granted credit toward licensure requirements or receive expedited processing as noted below. Question 18 pertains only to individuals applying for sole ownership business entity licenses. Please refer to Pages 5 and 6 of the General Information and Instructions for more information. If you checked "Yes" in response to any question, please provide the requested documentation.

16. **Have you completed an educational or apprenticeship program?**

If you checked "Yes" for this question, you may be granted credit for **completed training in an accredited school** if you:

- Submit transcripts for a four-year degree, two-year degree (or less), technical training (must include course hours and descriptions), and all other degrees in a business or construction-related field.

**Transcripts must be certified official and received directly from the educational institution in a sealed envelope.** (If you received your degree outside the United States, your transcripts must be translated, if in a language other than English, and evaluated by an accredited evaluation service that does business within the United States.)  Yes  No

You may be granted credit for a **completed apprenticeship program** if you:

- Submit a copy of your apprenticeship certificate; **AND**
- Enter the beginning and ending dates of your completed apprenticeship program:

From \_\_\_\_\_ to \_\_\_\_\_  
Month/Day/Year Month/Day/Year

(The apprenticeship period cannot overlap the journeyman-level experience period being certified on the Certification of Work Experience.)

17. **Are you serving in, or have you previously served in, the United States military?**

If you checked "Yes" for this question, please provide the following documentation:

- Evidence of your current military duty (copy of your military orders) or your previous military service (copy of your DD 214 – Certificate of Release or Discharge from Active Duty).  Yes  No

18. **(This question must be answered only if the applicant is an individual applying for a sole ownership business entity license.) Are you married to, or in a domestic partnership or other legal union with, an active duty member of the Armed Forces of the United States who is assigned to a duty station in California under official active duty military orders AND do you hold a current license in another state, district, or territory of the United States as a contractor in the same classification for which you are seeking licensure?**

If you checked "Yes" for this question, please provide the following documentation to be considered for expedited processing:

- Evidence of your legal union **and** your spouse's or partner's military duty. For example, attach a copy of the marriage certificate or certified declaration/registration of domestic partnership filed with the Secretary of State **AND** military orders establishing a duty station in California. For other forms of "legal union" not recognized by California, you may submit other documentary evidence of legal union issued by the state that recognizes your legal union for consideration by CSLB in meeting this requirement; **AND**
- Copy of your current license from the other state, district, or territory of the United States documenting a contractor license, registration, permit, or certificate in the same classification for which you are seeking licensure.  Yes  No

### **STATE TAX AND OTHER OBLIGATIONS NOTICE**

The State Board of Equalization and Franchise Tax Board may share taxpayer information with CSLB. You are obligated to pay your state tax obligation. CSLB may suspend or refuse to issue, reactivate, reinstate, or renew a license if your state tax obligation, child support obligation, or any outstanding final liability to CSLB, the Department of Industrial Relations, or the Employment Development Department is not paid. (BPC sections 30, 31, and 7145.5)

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